

MCGINN & GIBB, PLLC
A PROFESSIONAL LIMITED LIABILITY COMPANY
PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW
8321 OLD COURTHOUSE ROAD, SUITE 200
VIENNA, VIRGINIA 22182-3817
TELEPHONE (703) 761-4100
FACSIMILE (703) 761-2375; (703) 761-2376

**DIVISIONAL
APPLICATION
FOR
UNITED STATES
LETTERS PATENT**

APPLICANT: John W. Andrews
Bao T. Hwang
Howard S. Landis
Shaw-Ning Mei
James M. Tyler
Edward J. Vishnesky

FOR: **LOW COST SHALLOW TRENCH
ISOLATION USING NON-
CONFORMAL DIELECTRIC
MATERIAL**

DOCKET NO.: **BU9-98-225 DIV**

100290" T86E8660

As integrated circuit device technology has advanced and integrated circuit device dimensions have decreased, it has become increasingly common within advanced integrated circuits to employ trench isolation methods such as shallow trench isolation (STI) methods and recessed oxide isolation (ROI) methods to form trench isolation regions nominally co-planar with adjoining active semiconductor regions of semiconductor substrates. Such trench isolation

methods typically employ a chemical mechanical polish (CMP) planarizing method to provide a nominally planarized surface to a trench isolation region formed from a trench fill dielectric layer formed within the trench. Trench isolation regions nominally co-planar with active semiconductor regions within semiconductor substrates are desirable since they optimize, when subsequently forming patterned layers upon those nominally co-planar trench isolation regions and active semiconductor regions, the limited depth of focus typically achievable with advanced photoexposure.

Two major challenges in achieving the shallow trench isolation (STI) structure are: (1) filling the narrow trenches without voids or seams defect, and (2) planarization of trenches of diverse widths. Conventional STI processes may employ conformal low pressure chemical vapor deposition (LPCVD) TEOS deposition and a complicated planarization process which uses two step photo-resist coating, reactive ion etch (RIE) etch back and chemical mechanical polish (CMP). Due to the nature of conformal LPCVD TEOS deposition, seams are generally present in the LPCVD TEOS filled shallow trench isolation region. The seams become a major problem as the device dimensions scale downward and the aspect ratio of the STI increases.

SUMMARY OF THE INVENTION

In view of the foregoing and other problems of the conventional methods, it is, therefore, an object of the present invention to provide a method for planarizing a structure on a semiconductor substrate. The method may include providing the semiconductor substrate having raised and lowered regions with substantially vertical and horizontal surfaces. The vertical surfaces may have a predetermined height. Further, the method may include depositing filler material over the horizontal surfaces to at least a thickness equal to the predetermined height so as to provide raised and lowered regions of the filler material. The method may also include selectively removing the raised regions of the filler material.

The filler material may be non-conformal high density plasma (HDP) oxide. Additionally, the lowered regions of filler material may be covered with a mask and the filler material on the raised regions may be etched. The raised regions may not be protected by the mask.

An oxide pad and a nitride pad may be provided on the semiconductor substrate. The raised and lowered regions may be formed by masking regions of the nitride pad and etching exposed areas of the nitride pad. The oxide pad and the nitride pad may be further removed after selectively removing the raised regions of the filler material. Further, only the raised regions of the filler material

are etched without etching the lowered regions of the filler material.

Other objects, advantages and salient features of the invention will become apparent from the following detailed description taken in conjunction with the annexed drawings, which disclose preferred embodiments of the invention.

5

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be described in detail with reference to the following drawings in which like reference numerals refer to like elements and wherein:

Figures 1-6 show cross section views of the STI filled with non-conformal HDP oxide according to the present invention;

10

Figure 7 shows a cross section view of the planarized STI structure;

Figure 8 shows a flowchart of a preferred method of the present invention;

Figures 9-11 show steps of planarization according to the present invention; and

Figure 12 shows a flowchart according to the method shown in Figures 9-

15

11.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

Figure 1 shows a preferred method of forming a shallow trench isolation (STI) region using non-conformal dielectric material such as non-conformal high density plasma oxide. In this method, a silicon substrate 10 is initially provided and an oxide pad 30 and a nitride pad 40 are then formed over silicon substrate 10. A trench region 20 is then formed into the silicon substrate 10 in a conventional manner. Normally the trench region 20 is delineated by 1) applying a thin coat of photoresist on the wafer, 2) exposing selected regions of the photoresist to light in order to induce a chemical change in the photoresist, and 3) removing the exposed portions of the photoresist by wet-chemical means. Then the exposed regions of the wafer are removed to a desired depth using an anisotropic subtractive etch process. Conventional and appropriate subtractive etch methods include reactive ion etching, which is also known as plasma etching or dry etching. Such an etch process normally exhibits high uniformity, high selectivity to the photoresist or other masking material, and high directionality (anisotropy).

A filler material preferably formed of non-conformal high density plasma oxide 50 is provided over the entire silicon substrate and in the trench region 20 using a conventional deposition process. Pure silicon dioxide is preferably used,

00290-181386
0983981-062001

although doped oxides containing small amounts of phosphorous or boron may also be used. Further, fluorine may be useful for lowering the dielectric constant of the isolation. In general, any material which can be made in the form shown in the figures and is also useful as a trench isolation material can be used. The preferred material properties include good gap-fill, low dielectric constant, high purity, and thermal and chemical stability in the presence of other semiconductor device materials. As shown in Figure 1, the oxide material in the trench 50b should be very uniform and slightly thicker than the depth of the trench region 20. The filler material at the upper edge of the trench 50c should also be of uniform thickness, and preferably on the order of the thickness of the nitride film 40 or thinner. The invention can accommodate some variation in the thickness and sidewall-profile of the non-trench oxide material 50a.

Unlike conventional methods, the non-conformal dielectric material, such as the high density plasma oxide, fills the trench region 20 without any seams defect. That is, HDP oxides can be made to have excellent gap-fill characteristics because the extra energy available in a high-density plasma system allows the balance in the competition between etching and deposition to be finely adjusted. In practice, a balance is struck that allows deposition to occur much more effectively on horizontal surfaces than on vertical ones. For example, argon bombardment knocks off the oxide formed on vertical surfaces and redeposits it on horizontal surfaces in the trench region 20. This sputter/deposition method

achieves a non-conformal seams-free trench fill. For HDP oxide, this same balance between etching and deposition leads to the other features of the trench fill that are important to the invention.

The oxide 50 fills the trench region 20 to a predetermined height, which will be maintained throughout the entire planarization process. This predetermined height is preferably greater than a depth of the trench region 20 as measured from the bottom of the trench region 20 to a bottom of the oxide pad 30. As is known in the art, if the trench structure were made entirely of oxide, and that oxide were bombarded with high-energy ions as are present in a physical-sputtering tool (or in a high-density plasma deposition tool) then the exposed corners of oxide would quickly become beveled, generally at a 45-degree angle. This occurs because the atoms on the exposed corner are the easiest to dislodge. Under the right circumstances, the oxide that gets knocked off the exposed corners can collect in the interior corners at the bottom of small trenches. These things all occur at once in a typical HDP oxide deposition process. That is, oxide material tends to deposit everywhere uniformly, but is preferentially knocked off the sides of existing vertical surfaces in the substrate (allowing for excellent gap-fill) and off of the growing shoulder at the upper edge of these surfaces to form the sloped, beveled edges in the raised portion of the oxide.

Before the photoresist is applied to the wafer surface and patterned, the deposited filler material is etched slightly, as shown in Figure 2. This etch step is

performed in order to remove all of that portion of the filler material 50c shown in Figure 1, which acts to expose the pad nitride 40 in those regions. In other words, the upper part of the oxide is separated from the oxide in the trench region 20 after a short pull-back DHF (or BHF) oxide etch. An equal amount of films 50a and 50b are likewise removed by the etch process, which for simplicity has been illustrated as an isotropic or non-directional etch. This etching results in a structure similar to that shown in Figure 2. That is, the trench oxide 50b is completely separated from the non-trench oxide 50a, and the pad nitride 40 is the only material exposed between those regions.

Figure 3 shows an encapsulation photo resist 60 which is applied over the trench region 20 to protect the oxide 50 within the trench region 20. This photo resist 60 can be any film that can be patterned and is resistant to oxide etching. The encapsulation photo resist 60 protects the high density plasma oxide 50 within the trench region 20 and maintains the oxide 50 at the predetermined height while the remaining high density plasma oxide 50 is etched away in a well-known manner, as shown in Figure 4. Any subtractive etch that is selective to photo resist 60 and pad film 40 (normally nitride) will suffice. An etch process that is largely isotropic is beneficial, but etch isotropy is not a necessary feature. A hydrofluoric-acid containing wet-etch is preferred, because it is quick, cheap, selective to nitride and photo resist, and it is isotropic. This last characteristic allows portions of the oxide 50a that are partially covered by photo resist 60 to

also be etched away. The high selectivity to nitride and photo resist allows the oxide filler material 50b to be protected from the etchant, by virtue of the intimate contact between nitride 40 and photo resist 60 at all upper edges of the isolation trenches. Creating and maintaining a tight seal at these interfaces is important.

5 Any small, narrow regions of non-trench oxide 50a that are completely covered by photo resist 60 can be accommodated by the subsequent processing. Such regions might or might not be present on a wafer surface, depending on the specific morphology of the HDP deposit and whether restrictions are placed on the design to prohibit them. After the exposed regions of the non-trench oxide 50a are
10 removed, the photo resist 60 is removed by conventional means.

Figure 5 shows the result of the etching process in which the high density plasma oxide 50a in the non-trench regions has been removed, except possibly for small, narrow, isolated regions as depicted. Accordingly, the etching removes all of the HDP oxide 50 that is not protected by the encapsulation photo resist 60 and
15 then the encapsulation photo resist 60 is removed. The nitride pad 40 is next removed, as shown in Figure 6. Small quantities of dopant species are often implanted into the silicon substrate 10 through the pad oxide 30 before the pad oxide 30 is removed. The pad nitride 40 is preferably removed by subtractive etch. A phosphoric-acid containing wet-etch is common. The pad nitride 40 is
20 used as a buffer film to protect the raised regions of the silicon (where the semiconductor devices themselves are to be built) during the trench isolation

encapsulation photo resist 60. In step S108, the encapsulation photo resist 60 is removed. Subsequently, in step S110, the nitride pad 40 and oxide pad 30 are removed resulting in the planarized STI.

5 Figures 9-11 show an additional embodiment of forming the planarized STI and Figure 12 shows a corresponding flowchart. In this embodiment, the oxide pad 30 and nitride pad 40 are formed on the semiconductor substrate 10 in step S100 and the trench regions 20 are subsequently formed in step S102. In a similar manner, the high density plasma oxide 50 is applied in step S104, and the oxide film is etched back in step S105, in order to expose the nitride pad 40 at the edges of the trench regions in a similar manner to that shown in Figure 2. Then, in step S112, a conformal film such as a silicon nitride film 80 is applied over the deposited high density plasma oxide 50, as shown in Figure 9. In step S114, the conformal film 80 is sputter-etched so as to expose portions of each discrete piece of the non-trench high-density plasma oxide film 50b at exposed corners, such as at regions X, Y and Z shown in Figure 10. Physical sputtering processes are well-known to be able to preferentially remove material from exposed corners such as X, Y and Z. Subsequently, in step S116, the overburden of the non-trench high density plasma oxide 50a is removed using an isotropic etch process that is highly selective to the conformal film 80 and to the nitride pad 40 as shown in Figure 11. A wet etchant containing hydrofluoric acid is preferred because it is quick, cheap, selective to nitride, and it is isotropic. Finally, in step S118, the

remainder of the conformal film 80 is removed along with the pad nitride film 40 using an isotropic etch process that is highly selective to the pad oxide and to the remaining high density plasma oxide 50b. A phosphoric-acid containing wet-etch is commonly used for this purpose. If a small, narrow region of non-trench oxide material 50a (such as region Z in Figure 9) is not exposed by the sputter-etch (or
5 similar) process in step S114, then they will remain on the wafer after the isotropic oxide etch of step S116. But as such remaining regions of oxide 50a are small, if they exist at all, they will float off the wafer as they are undercut by the isotropic nitride etch in step S118. This results in the planarized STI structure shown in Figure 6. As in the previous embodiment, further processing to remove
10 the oxide pad 30, according to step S118, results in the fully planarized structure depicted in Figure 7.

This invention results in a novel, yet very simple process to form a planarized STI structure using non-conformal high density plasma (HDP) oxide deposition and photo resist encapsulation planarization. This has at least three
15 major advantages as compared with conventional STI processes. First, this invention eliminates many process steps employed by the current STI process such as photo resist planarization, reactive ion etching (RIE), etch back and CMP. Second, a non-conformal dielectric material such as high density plasma (HDP) oxide fills the trenches without any seams defect. All RIE and CMP related
20 defects such as particulate contamination, CMP scratches and chatter markers may

be eliminated using the present invention. Third, the present invention achieves uniform local and global planarization without adding any constraints to RX mask layout.

While the invention has been described with reference to specific
5 embodiments, the description of the specific embodiments is illustrative only and is not to be considered as limiting the scope of the invention. Various other modifications and changes may occur to those skilled in the art without departing from the spirit and scope of the invention.

09083981-062001
FOUO-SECRET